OHRP 45 CFR 46.116(d) and FDA Guidance

Requests for waiver or alteration of the informed consent process:
(1) The research or clinical investigation involves no more than minimal risk to the subjects (as defined in 21 CFR 50.3(k) or 56.102(i) for FDA regulated research);
(2) the waiver or alteration will not adversely affect the rights and welfare of the subjects;
(3) the research or clinical investigation could not practicably be carried out without the waiver or alteration; and
(4) whenever appropriate, the subjects will be provided with additional pertinent information after participation.

Can the IRB waive/alter the informed consent process?

1. Will the research or clinical investigation in its entirety involve greater than “minimal risk” (Section 46.102(i) or 21 CFR 50.3(k) or 56.102(i))?
   - NO
   - YES

2. Will waiving/altering informed consent adversely affect subjects’ rights and welfare?
   - NO
   - YES

3. Is it practicable to conduct the research or clinical investigation without the waiver/alteration?
   - NO
   - YES

4. Will pertinent information be provided to subjects later, if appropriate?
   - YES
   - NO

Waiver or alteration possible, if IRB documents these 4 findings and approves the waiver or alteration.

OHRP 45 CFR 46.116(c)
Qualified research/demonstration projects:

GUI03C02 rev7 08/17 Research Compliance Office
See regulations for full text.

An IRB may waive the requirement to document informed consent if it finds that one of these criteria is met:

**OHRP 45 CFR 46.117(c)(1)**

For research not subject to FDA regulation, the IRB finds:
That the only record linking the subjects and the research would be the consent document and the principal risk would be potential harm resulting from a breach of confidentiality. Each subject will be asked whether the subject wants documentation linking the subject with the research, and the subject’s wishes will govern.

**OHRP 45 CFR 46.117(c)(2)**

For research subject either to OHRP or FDA regulation, the IRB finds:
That the research presents no more than minimal risk of harm to subjects and involves no procedures for which written consent is normally required outside of the research context. In cases in which the documentation requirement is waived, the IRB may require the investigator to provide subjects with a written statement regarding the research.

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**TOPICS FOR CONSIDERATION IN DETERMINING WHEN PARENTAL PERMISSION MAY NOT BE WAIVED OR ALTERED**

1. Illegal, antisocial, or self-incriminating behavior
2. Relationship legally recognized as privileged (lawyers, doctors, clergy)
3. Sexual behavior or attitudes
4. Mental or psychological problems
5. Religious affiliations or beliefs
6. Parental political affiliations or beliefs
7. Appraisals of other individuals with whom the child has a familial relationship